# METABELIAN 3-GROUPS WITH ABELIANISATION OF TYPE $(9,3)$ 

DANIEL C. MAYER


#### Abstract

Presentations of metabelian 3-groups $G$ with abelianisation $G / G^{\prime}$ of type $(9,3)$ are used to determine explicit expressions for the transfers $\mathrm{V}_{i}$ from these groups to their maximal normal subgroups $M_{i}$, and to calculate the transfer kernels $\operatorname{ker}\left(\mathrm{V}_{i}\right)$ in $G / G^{\prime}$ and the structure of the transfer targets $M_{i} / M_{i}^{\prime}$, for $1 \leq i \leq 4$.


## 1. Introduction

We consider metabelian 3-groups $G=\langle x, y\rangle$ with two generators satisfying $x^{9} \in G^{\prime}$ and $y^{3} \in G^{\prime}$ and commutator quotient group $G / G^{\prime}$ of type $(9,3)$. Generally, such a group possesses - four normal subgroups of index 9 ,

$$
\tilde{M}_{1}=\left\langle y, G^{\prime}\right\rangle, \tilde{M}_{2}=\left\langle x^{3} y, G^{\prime}\right\rangle, \tilde{M}_{3}=\left\langle x^{3} y^{-1}, G^{\prime}\right\rangle, \tilde{M}_{4}=\left\langle x^{3}, G^{\prime}\right\rangle
$$

- and four maximal normal subgroups of index 3 ,

$$
M_{1}=\left\langle x, G^{\prime}\right\rangle, M_{2}=\left\langle x y, G^{\prime}\right\rangle, M_{3}=\left\langle x y^{-1}, G^{\prime}\right\rangle, M_{4}=\left\langle x^{3}, y, G^{\prime}\right\rangle
$$

We use the subscript 4 to indicate that for $M_{4}=\prod_{i=1}^{4} \tilde{M}_{i}$ the factor group $M_{4} / G^{\prime}=\left\langle x^{3}, y\right\rangle$ is bicyclic of type $(3,3)$, whereas $M_{i} / G^{\prime}$ is cyclic of order 9 , for $1 \leq i \leq 3$, and that $\tilde{M}_{4}=\cap_{i=1}^{4} M_{i}=$ $\Phi(G)=G^{3} G^{\prime}$ coincides with the Frattini subgroup of $G$, whereas $\tilde{M}_{i}$ is only contained in $M_{4}$, for $1 \leq i \leq 3$.

Figure 1. Double diamond head of a group $G$ with $G / G^{\prime}$ of type $(9,3)$


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## 2. Common formulas for 2-GENERATOR GROUPS OF Small CLASS

Let $G=\langle x, y\rangle$ be a group with two generators $x, y$. Define the main commutator by $s_{2}=[y, x] \in \gamma_{2}(G)$ and the threefold commutators by $s_{3}=\left[s_{2}, x\right], t_{3}=\left[s_{2}, y\right] \in \gamma_{3}(G)$. Then $y x=x y[y, x]=x y s_{2}, s_{2} x=x s_{2}\left[s_{2}, x\right]=x s_{2} s_{3}$, and $s_{2} y=y s_{2}\left[s_{2}, y\right]=y s_{2} t_{3}$.

If $G$ is metabelian, then
$\left[s_{2}^{-1}, x\right]=\left[s_{2}, x\right]^{-s_{2}^{-1}}=s_{3}^{-s_{2}^{-1}}=s_{3}^{-1},\left[s_{2}^{-1}, y\right]=\left[s_{2}, y\right]^{-s_{2}^{-1}}=t_{3}^{-s_{2}^{-1}}=t_{3}^{-1}$,
and $\left[s_{2}^{-1}, y^{-1}\right]=\left[s_{2}^{-1}, y\right]^{-y^{-1}}=\left(t_{3}^{-1}\right)^{-y^{-1}}=t_{3}^{y^{-1}}=t_{3}$, if $t_{3}$ lies in the centre $\zeta_{1}(G)$.
Consequently, $\left[y^{-1}, x\right]=[y, x]^{-y^{-1}}=s_{2}^{-y^{-1}}=\left(s_{2}^{-1}\right)^{y^{-1}}=s_{2}^{-1}\left[s_{2}^{-1}, y^{-1}\right]=s_{2}^{-1} t_{3}$.
After this preliminary commutator calculus, we prove two formulas for 3rd powers of products of the generators of a metabelian 2 -generator group $G$, now assuming that $s_{3}, t_{3}$ belong to the centre $\zeta_{1}(G)$.

$$
\begin{align*}
(x y)^{3} & =x^{3} y^{3} s_{2}^{3} s_{3} t_{3}^{5}  \tag{1}\\
\left(x y^{-1}\right)^{3} & =x^{3} y^{-3} s_{2}^{-3} s_{3}^{-1} t_{3}^{8} .
\end{align*}
$$

Proof. $(x y)^{3}=x y x y x y=x x y s_{2} x y s_{2} y=x^{2} y x s_{2} s_{3} y s_{2} y=x^{2} x y s_{2} s_{2} y s_{2} y s_{3}=x^{3} y s_{2} y s_{2} t_{3} y s_{2} t_{3} s_{3}=$ $=x^{3} y y s_{2} t_{3} y s_{2} t_{3} s_{2} s_{3} t_{3}^{2}=x^{3} y^{2} s_{2} y s_{2}^{2} s_{3} t_{3}^{4}=x^{3} y^{2} y s_{2} t_{3} s_{2}^{2} s_{3} t_{3}^{4}=x^{3} y^{3} s_{2}^{3} s_{3} t_{3}^{5}$
and $\left(x y^{-1}\right)^{3}=x y^{-1} x y^{-1} x y^{-1}=x x y^{-1}\left[y^{-1}, x\right] x y^{-1}\left[y^{-1}, x\right] y^{-1}=x^{2} y^{-1} s_{2}^{-1} t_{3} x y^{-1} s_{2}^{-1} t_{3} y^{-1}=$
$=x^{2} y^{-1} x s_{2}^{-1}\left[s_{2}^{-1}, x\right] y^{-1} y^{-1} s_{2}^{-1}\left[s_{2}^{-1}, y^{-1}\right] t_{3}^{2}=x^{2} x y^{-1} s_{2}^{-1} t_{3} s_{2}^{-1} s_{3}^{-1} y^{-1} y^{-1} s_{2}^{-1} t_{3} t_{3}^{2}=$
$=x^{3} y^{-1} s_{2}^{-1} y^{-1} s_{2}^{-1} t_{3} s_{3}^{-1} y^{-1} s_{2}^{-1} t_{3}^{4}=x^{3} y^{-1} y^{-1} s_{2}^{-1} t_{3} s_{2}^{-1} y^{-1} s_{2}^{-1} s_{3}^{-1} t_{3}^{5}=$
$=x^{3} y^{-2} s_{2}^{-1} y^{-1} s_{2}^{-1} t_{3} s_{2}^{-1} s_{3}^{-1} t_{3}^{6}=x^{3} y^{-2} y^{-1} s_{2}^{-1} t_{3} s_{2}^{-2} s_{3}^{-1} t_{3}^{7}=x^{3} y^{-3} s_{2}^{-3} s_{3}^{-1} t_{3}^{8}$.
3. $\mathrm{S}_{3}$-DOUble orbits of punctured transfer kernel types

The transfer $\mathrm{V}_{i}$ (Verlagerung) from $G$ to its maximal subgroup $M_{i}$ is given by

$$
\mathrm{V}_{i}=\mathrm{V}_{G, M_{i}}: G / G^{\prime} \rightarrow M_{i} / M_{i}^{\prime}, g \mapsto \begin{cases}g^{3}, & \text { if } g \in G \backslash M_{i},  \tag{3}\\ g^{S_{3}(h)}, & \text { if } g \in M_{i},\end{cases}
$$

where $\mathrm{S}_{3}(h)=1+h+h^{2} \in \mathbb{Z}[G]$, with an arbitrary element $h \in G \backslash M_{i}$, denotes the third trace element (Spur) in the group ring, acting as a symbolic exponent.

There are five possibilities for the kernel of $\mathrm{V}_{i}$, for each $1 \leq i \leq 4$. Either $\operatorname{ker}\left(\mathrm{V}_{i}\right)=\tilde{M}_{j} / G^{\prime}$, for some $1 \leq j \leq 4$, and we denote the one-dimensional transfer by the singulet $\varkappa(i)=j$, or $\operatorname{ker}\left(\mathrm{V}_{i}\right)=M_{4} / G^{\prime}$, and we denote the two-dimensional transfer by $\varkappa(i)=0$. Due to the distinguished role of the subscript 4 , we combine the singulets to form a multiplet

$$
\varkappa=((\varkappa(1), \varkappa(2), \varkappa(3)) ; \varkappa(4)) \in[0,4]^{3} \times[0,4]
$$

which we call the punctured transfer kernel type (TKT) of the group $G$ with respect to the selected generators.

To be independent from the choice of generators and the order of $M_{1}, M_{2}, M_{3}$ and $\tilde{M}_{1}, \tilde{M}_{2}, \tilde{M}_{3}$, we define the double orbit

$$
\varkappa^{S_{3} \times S_{3}}=\left\{\tilde{\sigma} \circ \varkappa \circ \hat{\tau} \mid \sigma, \tau \in S_{3}\right\}
$$

of $\varkappa$ under the operation of $S_{3} \times S_{3}$ as an isomorphism invariant $\varkappa(G)$ of $G$. Here, $\tilde{\sigma}$ denotes the extension of $\sigma$ from [1,3] to [0,4] which fixes 0 and 4 and $\hat{\tau}$ denotes the extension of $\tau$ from [1,3] to $[1,4]$ which fixes 4 .

Two further isomorphism invariants of $G$ are $\mu=\mu(G)=\#\{1 \leq i \leq 4 \mid \varkappa(i)=4\}$ and the number of two-dimensional transfers $\nu=\nu(G)=\#\{1 \leq i \leq 4 \mid \varkappa(i)=0\}$.

## 4. Combinatorially possible punctured transfer kernel types

In this section, we arrange all combinatorially possible $S_{3}$-double orbits of the $5^{4}$ punctured quadruplets $\varkappa \in[0,4]^{3} \times[0,4]$ by increasing invariant $0 \leq \mu \leq 4$ and cardinality of the image. Table 1 shows the punctured quadruplets with invariant $\nu=0$ and Table 2 the punctured quadruplets with invariant $1 \leq \nu \leq 4$ as possible punctured transfer kernel types of 3 -groups $G$ with $G / G^{\prime}$ of type $(9,3)$, resp. punctured principalisation types of number fields $K$ with 3-class group $\mathrm{Cl}_{3}(K)$ of type $(9,3)$, according to Artin's reciprocity law [15]. The double orbits are divided into sections, denoted by letters, and identified by ordinal numbers.

We denote by $o(\varkappa)=\left(\left|\varkappa^{-1}\{i\}\right|\right)_{0 \leq i \leq 4}$ the family of occupation numbers of the selected double orbit representative $\varkappa$ and by $\kappa$ the quadruplet of Taussky's conditions [26] associated with $\varkappa$.

If a double orbit $\varkappa^{S_{3} \times S_{3}}$ can be realised as a punctured transfer kernel type $\varkappa(G)$, then a suitable 3 -group $G$ is given in the notation of James [12], using Hall's isoclinism families [11].

Table 1 gives a coarse classification into sections A to E, an identification by ordinal numbers 1 to 20 , and a set theoretical characterisation.

TABLE 1. The $20 S_{3}$-double orbits of $\varkappa \in[1,4]^{4}$ with $\nu=0$

| Sec. |  | repres. of dbl.orb. $\varkappa$ | occupation numbers $o(\varkappa)$ | Taussky cond. $\kappa$ | charact. <br> property | cardinality of dbl.orb. $\left\|\varkappa^{S_{3} \times S_{3}}\right\|$ | realising 3-group G |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | 1 | (1111) | (04000) | $(B B B A)$ | constant | 3 | $\Phi_{2}(31)$ |
| B | 2 | (1112) | (03100) | $(B B B A)$ | nearly | 6 | ??? |
| B | 3 | (1121) | (03100) | $(B B B A)$ | constant | 18 |  |
| C | 4 | (1122) | (02200) | $(B B B A)$ |  | 18 | ??? |
| D | 5 | (1123) | (02110) | $(B B B A)$ |  | 18 |  |
| D | 6 | (1231) | (02110) | $(B B B A)$ |  | 18 | ??? |
| B | 7 | (1114) | (03001) | ( $B B B A$ ) | nearly | 3 | $\Phi_{6}(321)_{b_{1,1}}, \Phi_{6}(321)_{b_{1,2}}$ |
| B | 8 | (1141) | (03001) | $(B B A A)$ | constant | 9 |  |
| D | 9 | (1124) | (02101) | ( $B B B A$ ) |  | 18 | ??? |
| D | 10 | (1142) | (02101) | $(B B A A)$ |  | 18 | ?? |
| D | 11 | (1241) | (02101) | $(B B A A)$ |  | 36 | $\Phi_{6}(321)_{a_{1}}, \Phi_{6}(321)_{a_{2}}$ |
| E | 12 | (1234) | (01111) | ( $B B B A$ ) | per- | 6 | $\Phi_{6}(321)_{b_{2,1}}, \Phi_{6}(321)_{b_{2,2}}$ |
| E | 13 | (1243) | (01111) | $(B B A A)$ | mutation | 18 |  |
| C | 14 | (1144) | (02002) | ( $B B A A$ ) |  | 9 |  |
| C | 15 | (1441) | (02002) | ( $B A A A$ ) |  | 9 |  |
| D | 16 | (1244) | (01102) | ( $B B A A$ ) |  | 18 | ??? |
| D | 17 | (1442) | (01102) | ( $B A A A$ ) |  | 18 | ??? |
| B | 18 | (1444) | (01003) | ( $B A A A$ ) | nearly | 9 | ??? |
| B | 19 | (4441) | (01003) | ( $A A A A$ ) | constant | 3 | ??? |
| A | 20 | (4444) | (00004) | ( $A A A A$ ) | constant | 1 | $\Phi_{6}\left(2^{2} 1^{2}\right)_{g}, \Phi_{2}\left(2^{2}\right), \Phi_{8}(32)$ |
|  |  |  |  |  | Total number: | 256 |  |

Table 2 gives a coarse classification into sections a to e, an identification by ordinal numbers 1 to 32 , and a set theoretical characterisation.

TABLE 2. The $32 S_{3}$-double orbits of $\varkappa \in[0,4]^{4} \backslash[1,4]^{4}$ with $1 \leq \nu \leq 4$

| Sec. |  | repres. of dbl.orb. $\varkappa$ | occupation numbers $o(\varkappa)$ | Taussky cond. <br> $\kappa$ | charact. <br> property | cardinality of dbl.orb. $\left\|\varkappa^{S_{3} \times S_{3}}\right\|$ | realising <br> 3-group <br> G |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| a | 1 | (0000) | (40000) | ( $A A A A$ ) | constant | 1 | $\Phi_{2}\left(21^{2}\right)_{c}, \Phi_{3}\left(21^{3}\right)_{d}, \Phi_{3}\left(21^{3}\right)_{e}$ |
| b | 2 | (0001) | (31000) | ( $A A A A$ ) | nearly | 3 | $\Phi_{3}\left(31^{2}\right)_{a}$ |
| b | 3 | (0010) | (31000) | $(A A B A)$ | constant | 9 | $\Phi_{3}\left(31^{2}\right)_{b_{1}}, \Phi_{3}\left(31^{2}\right)_{b_{2}}$ |
| c | 4 | (0011) | (22000) | ( $A A B A$ ) |  | 9 |  |
| c | 5 | (0110) | (22000) | $(A B B A)$ |  | 9 |  |
| d | 6 | (0012) | (21100) | ( $A A B A$ ) |  | 18 |  |
| d | 7 | (0120) | (21100) | $(A B B A)$ |  | 18 |  |
| b | 8 | (0111) | (13000) | ( $A B B A$ ) | nearly | 9 |  |
| b | 9 | (1110) | (13000) | $(B B B A)$ | constant | 3 |  |
| d | 10 | (0112) | (12100) | $(A B B A)$ |  | 18 | $\Phi_{6}\left(31^{3}\right)_{a}$ |
| d | 11 | (0121) | (12100) | $(A B B A)$ |  | 36 |  |
| d | 12 | (1120) | (12100) | $(B B B A)$ |  | 18 |  |
| e | 13 | (0123) | (11110) | ( $A B B A$ ) | per- | 18 |  |
| e | 14 | (1230) | (11110) | $(B B B A)$ | mutation | 6 | $\Phi_{6}\left(31^{3}\right)_{b_{1}}, \Phi_{6}\left(31^{3}\right)_{b_{2}}$ |
| b | 15 | (0004) | (30001) | ( $A A A A$ ) | nearly | 1 | $\Phi_{3}\left(2^{2} 1\right)_{b_{1}}, \Phi_{3}\left(2^{2} 1\right)_{b_{2}}, \Phi_{6}\left(21^{4}\right)_{d}$ |
| b | 16 | (0040) | (30001) | $(A A A A)$ | constant | 3 | $\Phi_{3}\left(2^{2} 1\right)_{a}$ |
| d | 17 | (0014) | (21001) | ( $A A B A$ ) |  | 9 |  |
| d | 18 | (0041) | (21001) | ( $A A A A$ ) |  | 9 |  |
| d | 19 | (0140) | (21001) | $(A B A A)$ |  | 18 |  |
| d | 20 | (0114) | (12001) | $(A B B A)$ |  | 9 |  |
| d | 21 | (0141) | (12001) | $(A B A A)$ |  | 18 |  |
| d | 22 | (1140) | (12001) | $(B B A A)$ |  | 9 |  |
| e | 23 | (0124) | (11101) | ( $A B B A$ ) | per- | 18 |  |
| e | 24 | (0142) | (11101) | ( $A B A A$ ) | muta- | 36 |  |
| e | 25 | (1240) | (11101) | $(B B A A)$ | tion | 18 |  |
| c | 26 | (0044) | (20002) | ( $A A A A$ ) |  | 3 |  |
| c | 27 | (0440) | (20002) | ( $A A A A$ ) |  | 3 | $\Phi_{6}\left(2^{2} 1^{2}\right)_{h_{1}}$ |
| d | 28 | (0144) | (11002) | ( $A B A A$ ) |  | 18 |  |
| d | 29 | (0441) | (11002) | ( $A A A A$ ) |  | 9 |  |
| d | 30 | (1440) | (11002) | $(B A A A)$ |  | 9 |  |
| b | 31 | (0444) | (10003) | ( $A A A A$ ) | nearly | 3 | $\Phi_{6}\left(2^{2} 1^{2}\right)_{h_{2}}$ |
| b | 32 | (4440) | (10003) | $(A A A A)$ | constant | 1 |  |
|  |  |  |  | Total number: | $625-256=$ | 369 |  |

## 5. Actual realisation of punctured transfer kernel types

In this section, we characterise all punctured quadruplets $\varkappa \in[0,4]^{4}$ which can be realised as punctured transfer kernel types of metabelian 3-groups $G$ with abelianisation $G / G^{\prime}$ of type $(9,3)$. For this purpose we assume that $G$ occurs as the second 3 -class group $\operatorname{Gal}\left(\mathrm{F}_{3}^{2}(K) \mid K\right)[16]$ of an algebraic number field $K$ with 3 -class group $\mathrm{Cl}_{3}(K)$ of type (9,3). Then the structure of the abelianisations $M_{i} / M_{i}^{\prime}$ of the maximal normal subgroups $M_{i}$ of $G$, which we call the transfer target type (TTT) $\tau$ of $G$, is identical with the structure of the 3 -class groups $\mathrm{Cl}_{3}\left(N_{i}\right)$ of the unramified cyclic cubic extensions $N_{i} \mid K$, for $1 \leq i \leq 4$. Further, the structure of the abelianisation $\tilde{M}_{4} / \tilde{M}_{4}^{\prime}$ of the distinguished normal subgroup $\tilde{M}_{4}=\Phi(G)$ of index 9 in $G$ is identical with the structure of the 3-class group $\mathrm{Cl}_{3}\left(\tilde{N}_{4}\right)$ of the Frattini extension, the unique unramified bicyclic bicubic extension $\tilde{N}_{4} \mid K$. The isomorphism invariant $\varepsilon=\varepsilon(G)$ denotes the number of 3-class groups $\mathrm{Cl}_{3}\left(N_{i}\right)$ of 3 -rank at least 3 . In the case of a quadratic base field $K=\mathbb{Q}(\sqrt{D})$ with discriminant $D$, the 3 -class numbers $\mathrm{h}_{i}=\mathrm{h}_{3}\left(L_{i}\right)$ of the non-Galois absolutely cubic subfields $L_{i}$ of the $N_{i}$ can be used additionally for the characterisation.

Table 3 lists the 13 isomorphism classes of 3 -groups $G$ with abelianisation $G / G^{\prime}$ of type $(9,3)$ in the isoclinism family $\Phi_{6}$ [19]. They form branch 1 of this family, whence their order, nilpotency class, and coclass [14] are given by $|G|=3^{6}, \operatorname{cl}(G)=3, \operatorname{cc}(G)=3$, whereas the stem groups of $\Phi_{6}$ have $|G|=3^{5}, \operatorname{cl}(G)=3, \operatorname{cc}(G)=2$. Generally, the nilpotency class $\operatorname{cl}(G)=3$ is a family invariant of $\Phi_{6} . \downarrow$ denotes a descendant.

Table 3. TKT and TTT of 3-groups in branch 1 of isoclinism family $\Phi_{6}$ or descendants

| type | $\varkappa$ | $\mathrm{h}_{1}$ | $\mathrm{~h}_{2}$ | $\mathrm{Cl}_{3}\left(N_{1}\right)$ | $\mathrm{Cl}_{3}\left(N_{2}\right)$ | $\mathrm{Cl}_{3}\left(N_{3}\right)$ | $\mathrm{Cl}_{3}\left(N_{4}\right)$ | $\varepsilon$ | $\mathrm{Cl}_{3}\left(\tilde{N}_{4}\right)$ | min. $\|D\|$ | group |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| D.11 | $(4232)$ | 3 | 3 | $(9,3,3)$ | $(27,3)$ | $(27,3)$ | $(9,3,3)$ | 2 | $(9,3,3)$ | $\|-3299\|$ | $\Phi_{6}(321)_{a_{1}}$ |
| D.11 | $(4322)$ | 3 | 3 | $(9,3,3)$ | $(27,3)$ | $(27,3)$ | $(9,3,3)$ | 2 | $(9,3,3)$ | 255973 | $\Phi_{6}(321)_{a_{2}}$ |
| B.7 | $(1114)$ | 3 | 3 | $(27,3)$ | $(27,3)$ | $(27,3)$ | $(3,3,3,3)$ | 1 | $(9,3,3,3)$ | $\|-54695\|$ | $\Phi_{6}(321)_{b_{1,1}} \downarrow$ |
| B.7 | $(1114)$ | 3 | 3 | $(27,3)$ | $(27,3)$ | $(27,3)$ | $(3,3,3,3)$ | 1 | $(9,3,3,3)$ | 1664444 | $\Phi_{6}(321)_{b_{1,2}} \downarrow$ |
| E.12 | $(1234)$ | 3 | 3 | $(27,3)$ | $(27,3)$ | $(27,3)$ | $(9,3,3)$ | 1 | $(9,9,3)$ | $\|-5703\|$ | $\Phi_{6}(321)_{b_{2,1}} \downarrow$ |
| E.12 | $(1324)$ | 3 | 3 | $(27,3)$ | $(27,3)$ | $(27,3)$ | $(9,3,3)$ | 1 | $(9,9,3)$ | 1893032 | $\Phi_{6}(321)_{b_{2,2}} \downarrow$ |
| d.10 | $(0112)$ |  |  | $(9,3,3)$ | $(27,3)$ | $(27,3)$ | $(9,3,3)$ | 2 | $(9,3,3)$ |  | $\Phi_{6}\left(31^{3}\right)_{a}$ |
| e.14 | $(1320)$ |  |  | $(27,3)$ | $(27,3)$ | $(27,3)$ | $(9,3,3)$ | 1 | $(9,3,3)$ |  | $\Phi_{6}\left(31^{3}\right)_{b_{1}}$ |
| e.14 | $(1230)$ |  |  | $(27,3)$ | $(27,3)$ | $(27,3)$ | $(9,3,3)$ | 1 | $(9,3,3)$ |  | $\Phi_{6}\left(31^{3}\right)_{b_{2}}$ |
| A.20 | $(4444)$ | 3 | 3 | $(9,3,3)$ | $(9,3,3)$ | $(9,3,3)$ | $(3,3,3,3)$ | 4 | $(9,9,3,3,3)$ | $\|-289704\|$ | $\Phi_{6}\left(2^{2} 1^{2}\right)_{g} \downarrow$ |
| c.27 | $(0440)$ |  | $(9,3,3)$ | $(9,3,3)$ | $(9,3,3)$ | $(9,3,3)$ | 4 | $(3,3,3,3)$ |  | $\Phi_{6}\left(2^{2} 1^{2}\right)_{h_{1}}$ |  |
| b. 31 | $(0444)$ |  |  | $(9,3,3)$ | $(9,3,3)$ | $(9,3,3)$ | $(9,3,3)$ | 4 | $(3,3,3,3)$ |  | $\Phi_{6}\left(2^{2} 1^{2}\right)_{h_{2}}$ |
| b.15 | $(0004)$ |  |  | $(9,3,3)$ | $(9,3,3)$ | $(9,3,3)$ | $(3,3,3,3)$ | 4 | $(3,3,3,3)$ |  | $\Phi_{6}\left(21^{4}\right)_{d}$ |

In Table 4 we give the 12 isomorphism classes of 3 -groups $G$ with abelianisation $G / G^{\prime}$ of type $(9,3)$ in the isoclinism families $\Phi_{2}, \Phi_{3}$, and $\Phi_{8}$. For $\Phi_{2}$, they form branch 1 of this family, whence their order and coclass are given by $|G|=3^{4}, \operatorname{cc}(G)=2$, whereas the stem groups of $\Phi_{2}$ have $|G|=3^{3}, \operatorname{cc}(G)=1$. The class $\operatorname{cl}(G)=2$ is a family invariant of $\Phi_{2}$. For $\Phi_{3}$, they form branch 1 of this family, whence their order and coclass are given by $|G|=3^{5}, \operatorname{cc}(G)=2$, whereas the stem groups of $\Phi_{3}$ have $|G|=3^{4}, \operatorname{cc}(G)=1$. The class $\operatorname{cl}(G)=3$ is a family invariant of $\Phi_{3}$. Finally, the stem of $\Phi_{8}$ consists of a unique isomorphism class with $|G|=3^{5}, \operatorname{cl}(G)=3, \operatorname{cc}(G)=2$.
$\downarrow$ denotes a descendant.
Table 4. TKT and TTT of 3 -groups in isoclinism families $\Phi_{2}, \Phi_{3}, \Phi_{8}$ or descendants

| type | $\varkappa$ | $\mathrm{h}_{1}$ | $\mathrm{~h}_{2}$ | $\mathrm{Cl}_{3}\left(N_{1}\right)$ | $\mathrm{Cl}_{3}\left(N_{2}\right)$ | $\mathrm{Cl}_{3}\left(N_{3}\right)$ | $\mathrm{Cl}_{3}\left(N_{4}\right)$ | $\varepsilon$ | $\mathrm{Cl}_{3}\left(\tilde{N}_{4}\right)$ | min. $\|D\|$ | group |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A.1 | $(1111)$ |  |  | $(27)$ | $(27)$ | $(27)$ | $(9,3)$ | 0 | $(9)$ |  | $\Phi_{2}(31)$ |
| A.20 | $(4444)$ |  |  | $(9,3)$ | $(9,3)$ | $(9,3)$ | $(9,3)$ | 0 | $(3,3)$ |  | $\Phi_{2}\left(2^{2}\right)$ |
| a.1 | $(0000)$ |  |  | $(9,3)$ | $(9,3)$ | $(9,3)$ | $(3,3,3)$ | 1 | $(3,3)$ |  | $\Phi_{2}\left(21^{2}\right)_{c}$ |
| b.2 | $(0001)$ | 3 | 3 | $(9,3)$ | $(9,3)$ | $(9,3)$ | $(9,3,3)$ | 1 | $(9,3)$ | 529393 | $\Phi_{3}\left(31^{2}\right)_{a}$ |
| b.3 | $(1000)$ | 3 | 3 | $(27,3)$ | $(9,3)$ | $(9,3)$ | $(3,3,3)$ | 1 | $(9,3)$ | 635909 | $\Phi_{3}\left(31^{2}\right)_{b_{1}}$ |
| b.3 | $(1000)$ | 3 | 3 | $(27,3)$ | $(9,3)$ | $(9,3)$ | $(3,3,3)$ | 1 | $(9,3)$ | 946733 | $\Phi_{3}\left(31^{2}\right)_{b_{2}}$ |
| b.16 | $(4000)$ | 3 | 3 | $(9,3,3)$ | $(9,3)$ | $(9,3)$ | $(3,3,3)$ | 2 | $(3,3,3)$ | 282461 | $\Phi_{3}\left(2^{2} 1\right)_{a}$ |
| b.15 | $(0004)$ | 3 | 3 | $(9,3)$ | $(9,3)$ | $(9,3)$ | $(3,3,3,3)$ | 1 | $(3,3,3,3)$ | 3763580 | $\Phi_{3}\left(2^{2} 1\right)_{b_{1} \downarrow} \downarrow$ |
| b.15 | $(0004)$ | 3 | 3 | $(9,3)$ | $(9,3)$ | $(9,3)$ | $(9,3,3)$ | 1 | $(9,3,3)$ | 700313 | $\Phi_{3}\left(2^{2} 1\right)_{b_{2}} \downarrow$ |
| a.1 | $(0000)$ | 9 | 3 | $(9,3)$ | $(9,3)$ | $(9,3)$ | $(9,9,3)$ | 1 | $(9,9,3)$ | 783689 | $\Phi_{3}\left(21^{3}\right)_{d} \downarrow$ |
| a.1 | $(0000)$ | 9 | 3 | $(9,9,3)$ | $(9,3)$ | $(9,3)$ | $(3,3,3)$ | 2 | $(9,9,3)$ | 626264 | $\Phi_{3}\left(21^{3}\right)_{e} \downarrow$ |
| A.20 | $(4444)$ |  |  | $(9,3)$ | $(9,3)$ | $(9,3)$ | $(9,3)$ | 0 | $(9,3)$ |  | $\Phi_{8}(32)$ |

## 6. 3-GROUPS OF THE FIRST BRANCH OF ISOCLINISM FAMILY $\Phi_{3}$

Generally, the $p$-groups $G$ of isoclinism family $\Phi_{3}$ are characterized by the nilpotency class $\operatorname{cl}(G)=3$ [12, p.618, 4.1]. Their common central quotient $G / \zeta_{1}(G)$ is the extra special $p$-group $G_{0}^{3}(0,0)$ of order $p^{3}$ and of exponent $p[15$, Thm.2.5]. For the 2-generator groups $G=\langle x, y\rangle$ in $\Phi_{3}$, the structure of their lower central series $\left(\gamma_{j}(G)\right)_{j \geq 1}$ can be expressed by means of the main commutator, $s_{2}=[y, x] \in \gamma_{2}(G)=[G, G]$, and the threefold commutator in $\gamma_{3}(G)=\left[\gamma_{2}(G), G\right]$,

$$
s_{3}= \begin{cases}{\left[s_{2}, x\right],} & \text { if }\left[s_{2}, y\right]=1 \\ {\left[s_{2}, y\right],} & \text { if }\left[s_{2}, x\right]=1\end{cases}
$$

The groups are metabelian with $\gamma_{2}(G)=\left\langle s_{2}, s_{3}\right\rangle$ of type $(p, p)$ and $\gamma_{3}(G)=\left\langle s_{3}\right\rangle$ cyclic of order $p$.
The 2-generator groups in the first branch of $\Phi_{3}$ have order $|G|=p^{5}$, coclass $\operatorname{cc}(G)=2$ and abelianization $G / G^{\prime}$ of type $\left(p^{2}, p\right)$. If we select the generators of $G=\langle x, y\rangle$ such that $x^{p^{2}} \in G^{\prime}$ and $y^{p} \in G^{\prime}$.

In the special case $p=3$, the 4 maximal subgroups of $G$ are given by

$$
M_{1}=\left\langle x, G^{\prime}\right\rangle, M_{2}=\left\langle x y, G^{\prime}\right\rangle, M_{3}=\left\langle x y^{-1}, G^{\prime}\right\rangle, M_{4}=\left\langle x^{3}, y, G^{\prime}\right\rangle
$$

To calculate the transfer target type (TTT) $\tau(G)$, we need generators for the commutator quotients of the maximal subgroups. According to [5, p.52, Lem.2.1], we have

$$
M_{1}^{\prime}=\left[G^{\prime}, M_{1}\right]=\left(G^{\prime}\right)^{x-1}=\left\langle s_{2}^{x-1}\right\rangle=\left\langle\left[s_{2}, x\right]\right\rangle= \begin{cases}\left\langle s_{3}\right\rangle, & \text { if }\left[s_{2}, y\right]=1 \\ 1, & \text { if }\left[s_{2}, x\right]=1\end{cases}
$$

and $M_{1} / M_{1}^{\prime}=\left\langle x, s_{2}, s_{3}\right\rangle /\left\langle s_{3}\right\rangle=\left\langle x, s_{2}\right\rangle /\left\langle s_{3}\right\rangle$, if $\left[s_{2}, y\right]=1$, but $M_{1} / M_{1}^{\prime} \simeq M_{1}=\left\langle x, s_{2}, s_{3}\right\rangle$, if $\left[s_{2}, x\right]=1$.

Since

$$
s_{2}^{x y-1}=\left[s_{2}, x y\right]=\left[s_{2}, y\right]\left[s_{2}, x\right]^{y}= \begin{cases}1 \cdot s_{3}^{y}=s_{3}, & \text { if }\left[s_{2}, y\right]=1 \\ s_{3} \cdot 1^{y}=s_{3}, & \text { if }\left[s_{2}, x\right]=1\end{cases}
$$

i.e. $s_{2}^{x y-1}=s_{3}$ in any case, we have $M_{2}^{\prime}=\left[G^{\prime}, M_{2}\right]=\left(G^{\prime}\right)^{x y-1}=\left\langle s_{2}^{x y-1}\right\rangle=\left\langle s_{3}\right\rangle$ and $M_{2} / M_{2}^{\prime}=\left\langle x y, s_{2}, s_{3}\right\rangle /\left\langle s_{3}\right\rangle=\left\langle x y, s_{2}\right\rangle /\left\langle s_{3}\right\rangle$.

Since
$s_{2}^{x y^{-1}-1}=\left[s_{2}, x y^{-1}\right]=\left[s_{2}, y^{-1}\right]\left[s_{2}, x\right]^{y^{-1}}=\left[s_{2}, y\right]^{-y^{-1}}\left[s_{2}, x\right]^{y^{-1}}= \begin{cases}1^{-y^{-1}} \cdot s_{3}^{y^{-1}}=s_{3}, & \text { if }\left[s_{2}, y\right]=1, \\ s_{3}^{-y^{-1}} \cdot 1^{y^{-1}}=s_{3}^{-1}, & \text { if }\left[s_{2}, x\right]=1,\end{cases}$
we have $M_{3}^{\prime}=\left[G^{\prime}, M_{3}\right]=\left(G^{\prime}\right)^{x y^{-1}-1}=\left\langle s_{2}^{x y^{-1}-1}\right\rangle=\left\langle s_{3}\right\rangle$
and $M_{3} / M_{3}^{\prime}=\left\langle x y^{-1}, s_{2}, s_{3}\right\rangle /\left\langle s_{3}\right\rangle=\left\langle x y^{-1}, s_{2}\right\rangle /\left\langle s_{3}\right\rangle$, in any case.
Since $M_{4} / \Phi(G)$ is cyclic and $x^{3} \in \zeta_{1}(G)$, we have

$$
M_{4}^{\prime}=\left[\Phi(G), M_{4}\right]=\left[G^{\prime}, M_{4}\right]=\left(G^{\prime}\right)^{y-1}=\left\langle s_{2}^{y-1}\right\rangle=\left\langle\left[s_{2}, y\right]\right\rangle= \begin{cases}1, & \text { if }\left[s_{2}, y\right]=1 \\ \left\langle s_{3}\right\rangle, & \text { if }\left[s_{2}, x\right]=1\end{cases}
$$

and $M_{4} / M_{4}^{\prime}=\left\langle x^{3}, y, s_{2}, s_{3}\right\rangle /\left\langle s_{3}\right\rangle=\left\langle x^{3}, y, s_{2}\right\rangle /\left\langle s_{3}\right\rangle$, if $\left[s_{2}, x\right]=1$,
but $M_{4} / M_{4}^{\prime} \simeq M_{4}=\left\langle x^{3}, y, s_{2}, s_{3}\right\rangle$, if $\left[s_{2}, y\right]=1$.
These formulas admit to give upper bounds for the 3-rank of the abelianisations. Whereas $M_{2} / M_{2}^{\prime}$ and $M_{3} / M_{3}^{\prime}$ are at most of 3-rank 2 , the 3-rank of $M_{1} / M_{1}^{\prime}$ is bounded by 2 , if $\left[s_{2}, y\right]=1$, and by 3 , if $\left[s_{2}, x\right]=1$. The biggest 3-rank 4 can occur for $M_{4} / M_{4}^{\prime}$, if $\left[s_{2}, y\right]=1$, and is bounded by 3 , if $\left[s_{2}, x\right]=1$.

Since the source of all transfers $\mathrm{V}_{i}: G / G^{\prime} \rightarrow M_{i} / M_{i}^{\prime}$ can be represented by the generators as $G / G^{\prime}=\left\{x^{j} y^{\ell} G^{\prime} \mid 0 \leq j<9,0 \leq \ell<3\right\}$, the possible transfer kernels $\operatorname{ker}\left(\mathrm{V}_{i}\right)$ are either of dimension 1 (partial), $\tilde{M}_{1} / G^{\prime}=\left\{y^{\ell} G^{\prime} \mid 0 \leq \ell<3\right\}, \varkappa(i)=1$, or $\tilde{M}_{2} / G^{\prime}=\left\{x^{3 \ell} y^{\ell} G^{\prime} \mid 0 \leq \ell<3\right\}$, $\varkappa(i)=2$, or $\tilde{M}_{3} / G^{\prime}=\left\{x^{-3 \ell} y^{\ell} G^{\prime} \mid 0 \leq \ell<3\right\}, \varkappa(i)=3$, or $\tilde{M}_{4} / G^{\prime}=\left\{x^{j} G^{\prime} \mid j=0,3,6\right\}, \varkappa(i)=4$, or of dimension 2 (total), $M_{4} / G^{\prime}=\left\{x^{j} y^{\ell} G^{\prime} \mid j=0,3,6,0 \leq \ell<3\right\}, \varkappa(i)=0$.

To calculate the punctured transfer kernel type (TKT) $\varkappa(G)$, we need explicit expressions for the transfers $\mathrm{V}_{i}=\mathrm{V}_{G, M_{i}}$ from $G / G^{\prime}$ to the abelianisations of the maximal subgroups $M_{i} / M_{i}^{\prime}$, based on equation (3).

For our fixed arrangement of the maximal subgroups of $G=\langle x, y\rangle$, we have $x \in M_{1}$ but $x \notin M_{2}, M_{3}, M_{4}$ and $y \in M_{4}$ but $y \notin M_{1}, M_{2}, M_{3}$. Consequently, the following transfer images are powers, $\mathrm{V}_{i}\left(x G^{\prime}\right)=x^{3} M_{i}^{\prime}$ for $2 \leq i \leq 4$ and $\mathrm{V}_{i}\left(y G^{\prime}\right)=y^{3} M_{i}^{\prime}$ for $1 \leq i \leq 3$. However, for the remaining transfer images we need a formula for the action of third trace elements as symbolic exponents. According to [15, Thm.3.1,(6)], we have
$\mathrm{V}_{1}\left(x G^{\prime}\right)=x^{\mathrm{S}_{3}(y)} M_{1}^{\prime}=x^{1+y+y^{2}} M_{1}^{\prime}=x^{3}[x, y]^{3}[[x, y], y] M_{1}^{\prime}=x^{3} s_{2}^{-3}\left[s_{2}^{-1}, y\right] M_{1}^{\prime}=x^{3} s_{2}^{-3}\left[s_{2}, y\right]^{-s_{2}^{-1}} M_{1}^{\prime}=$
$= \begin{cases}x^{3} s_{2}^{-3} M_{1}^{\prime}, & \text { if }\left[s_{2}, y\right]=1, \\ x^{3} s_{2}^{-3} s_{3}^{-1} M_{1}^{\prime}, & \text { if }\left[s_{2}, x\right]=1,\end{cases}$
and $\mathrm{V}_{4}\left(y G^{\prime}\right)=y^{\mathrm{S}_{3}(x)} M_{4}^{\prime}=y^{1+x+x^{2}} M_{4}^{\prime}=y^{3}[y, x]^{3}[[y, x], x] M_{4}^{\prime}=y^{3} s_{2}^{3}\left[s_{2}, x\right] M_{4}^{\prime}=$
$= \begin{cases}y^{3} s_{2}^{3} s_{3} M_{4}^{\prime}, & \text { if }\left[s_{2}, y\right]=1, \\ y^{3} s_{2}^{3} M_{4}^{\prime}, & \text { if }\left[s_{2}, x\right]=1 .\end{cases}$
Summarised, $\mathrm{V}_{i}\left(x^{j} y^{\ell} G^{\prime}\right)=x^{3 j} y^{3 \ell} M_{i}^{\prime}$, if either $2 \leq i \leq 3$ or $i=1,\left[s_{2}, y\right]=1$ or $i=4$, $\left[s_{2}, x\right]=1$, but exceptionally $\mathrm{V}_{1}\left(x^{j} y^{\ell} G^{\prime}\right)=x^{3 j} s_{3}^{-j} y^{3 \ell}$, if $\left[s_{2}, x\right]=1$ and thus $M_{1}^{\prime}=1$, and $\mathrm{V}_{4}\left(x^{j} y^{\ell} G^{\prime}\right)=x^{3 j} y^{3 \ell} s_{3}^{\ell}$, if $\left[s_{2}, y\right]=1$ and thus $M_{4}^{\prime}=1$.

To determine the transfer kernel we have to solve the equation $\mathrm{V}_{i}\left(x^{j} y^{\ell} G^{\prime}\right)=1 \cdot M_{i}^{\prime}$ with respect to $j$ and $\ell$.

For the standard case this can be done independently from the details of the presentation of the group $G$. If either $2 \leq i \leq 3$ or $i=1,\left[s_{2}, y\right]=1$ or $i=4,\left[s_{2}, x\right]=1$, then we have uniformly $M_{i}^{\prime}=\left\langle s_{3}\right\rangle=\gamma_{3}(G)$ and $\overline{\mathrm{V}}_{i}\left(x^{\bar{j}} y^{\ell} G^{\prime}\right)=x^{3 j} y^{3 \ell} M_{i}^{\prime}=M_{i}^{\prime}$, i.e. $x^{3 j} y^{3 \ell} \in\left\langle s_{3}\right\rangle$, implies $3 \mid j$ but admits arbitrary $\ell$, since $x^{9}, y^{3} \in\left\langle s_{3}\right\rangle$, in any case. Consequently, $\varkappa(i)=0$, generally in the standard case.

The exceptional cases, however, depend on the isomorphism class of the group $G$.
There are 8 isomorphism classes of 2-generator groups $G=\langle x, y\rangle$ in the first branch of $\Phi_{3}$ and table 5 gives 3 representatives for each isomorphism class in the notation of GAP 4.4 [10], James [12, p.620, 4.5], and Ascione, Havas, Leedham-Green [3, p.272, 7] resp. [1, p.79, Fig.5.4]. A common feature of all 8 isomorphism classes are the relations $s_{2}=[y, x], s_{2}^{3}=1, s_{3}^{3}=1$ and we only give the remaining relations for $\left[s_{2}, x\right],\left[s_{2}, y\right], x^{9}$, and $y^{3}$.

Table 5. Representatives of the 8 isomorphism classes in branch 1 of $\Phi_{3}$

| GAP 4.4 | James | Ascione | $\left[s_{2}, x\right]$ | $\left[s_{2}, y\right]$ | $x^{9}$ | $y^{3}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\langle 243,20\rangle$ | $\Phi_{3}\left(31^{2}\right)_{b_{1}}$ | B | 1 | $s_{3}$ | $s_{3}^{-1}$ | 1 |
| $\langle 243,19\rangle$ | $\Phi_{3}\left(31^{2}\right)_{b_{2}}$ | C | 1 | $s_{3}$ | $s_{3}$ | 1 |
| $\langle 243,16\rangle$ | $\Phi_{3}\left(31^{2}\right)_{a}$ | F | $s_{3}$ | 1 | $s_{3}$ | $s_{3}^{-1}$ |
| $\langle 243,18\rangle$ | $\Phi_{3}\left(2^{2} 1\right)_{a}$ | D | 1 | $s_{3}$ | 1 | $s_{3}^{-1}$ |
| $\langle 243,14\rangle$ | $\Phi_{3}\left(2^{2} 1\right)_{b_{2}}$ | H | $s_{3}$ | 1 | 1 | $s_{3}$ |
| $\langle 243,13\rangle$ | $\Phi_{3}\left(2^{2} 1\right)_{b_{1}}$ | E | $s_{3}$ | 1 | 1 | 1 |
| $\langle 243,15\rangle$ | $\Phi_{3}\left(21^{3}\right)_{d}$ | G | $s_{3}$ | 1 | 1 | $s_{3}^{-1}$ |
| $\langle 243,17\rangle$ | $\Phi_{3}\left(21^{3}\right)_{e}$ | A | 1 | $s_{3}$ | 1 | 1 |

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Naglergasse 53, 8010 Graz, Austria
E-mail address: algebraic.number.theory@algebra.at
URL: http://www.algebra.at

