

Differential Principal Factors (DPF) in Pure Metacyclic Fields

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Towers of p -Class Fields over Algebraic Number Fields

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1. General Pure Metacyclic Fields

$p \geq 3 \dots$ odd prime number,
 $D \geq 2 \dots$ p th power free integer,
 $\zeta_p = \exp(2\pi\sqrt{-1}/p) \dots$ primitive p th root of unity,
 $N = \mathbb{Q}(\zeta_p, \sqrt[p]{D}) \dots$ **pure metacyclic** field, deg. $(p-1) \cdot p$,
 $L = \mathbb{Q}(\sqrt[p]{D}) \dots$ **pure** subfield of degree p of N ,
 $K = \mathbb{Q}(\zeta_p) \dots$ p th cyclotomic field.

1.1. Galois Cohomology

$G = \text{Gal}(N/K) = \langle \sigma \rangle \simeq C_p$, rel. group of Kummer ext.,
 $E_{N/K} = U_N \cap \ker(N_{N/K})$, where $U_N =$ unit group of N .

Herbrand Quotient of the G -module U_N :

$$\frac{\#H^0(G, U_N)}{\#H^1(G, U_N)} = \frac{(\ker(\Delta) : \text{im}(\mathcal{N}))}{(\ker(\mathcal{N}) : \text{im}(\Delta))} = \frac{(U_K : N_{N/K}(U_N))}{(E_{N/K} : U_N^{\sigma^{-1}})},$$

$$\#H^1(G, U_N) = \#H^0(G, U_N) \cdot [N : K] = p^{U+1}, \quad 0 \leq U \leq \frac{p-1}{2}.$$

Iwasawa Isomorphism: $H^1(G, U_N) \simeq \overbrace{\mathcal{P}_{N/K}/\mathcal{P}_K}^{\text{DPF}}$,

$$(\mathcal{P}_{N/K} : \mathcal{P}_K) = (E_{N/K} : U_N^{\sigma^{-1}}) = (U_K : N_{N/K}(U_N)) \cdot p.$$

$$\mathcal{P}_{N/K}/\mathcal{P}_K \simeq \underbrace{\mathcal{P}_{L/\mathbb{Q}}/\mathcal{P}_{\mathbb{Q}}}_{\text{abs. DPF}} \times \underbrace{(\mathcal{P}_{N/K}/\mathcal{P}_K \cap \ker(N_{N/L}))}_{\text{rel. DPF (norm kernel)}}, \quad U+1 = A+R.$$

1.2. General Norm Kernel

Theorem.⁽¹²⁾ (Primitive ambiguous ideals)

Let $p \in \mathbb{P}$ be a prime, and $q \in \mathbb{N}$ be an integer coprime to p . Suppose F/F_0 is a number field extension of degree p , E_0/F_0 is an extension of degree q , and $E = F \cdot E_0$ is the compositum of F and E_0 . (Most important situation: $q = p - 1$.)

(1) The norm map $N_{E/F} : \mathcal{I}_E \rightarrow \mathcal{I}_F$ satisfies

$$N_{E/F}(\mathcal{I}_{E/E_0}) \leq \mathcal{I}_{F/F_0} \text{ and } N_{E/F}(\mathcal{I}_{E_0}) \leq \mathcal{I}_{F_0},$$

and induces an epimorphism

$$N_{E/F} : \mathcal{I}_{E/E_0}/\mathcal{I}_{E_0} \rightarrow \mathcal{I}_{F/F_0}/\mathcal{I}_{F_0}.$$

(2) There are isomorphisms of elementary abelian p -groups

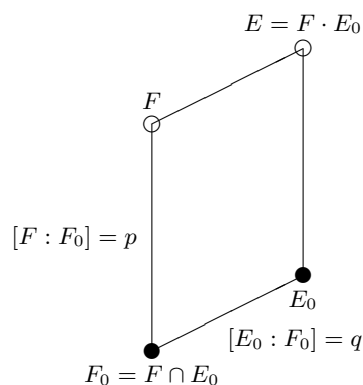
$$\mathcal{I}_{F/F_0}/\mathcal{I}_{F_0} \simeq (\mathcal{I}_{E/E_0}/\mathcal{I}_{E_0}) / \ker(N_{E/F})$$

and

$$\mathcal{I}_{E/E_0}/\mathcal{I}_{E_0} \simeq (\mathcal{I}_{F/F_0}/\mathcal{I}_{F_0}) \times \ker(N_{E/F})$$

(Natural decomposition of primitive ambiguous ideal groups).

FIGURE 1. Compositum of extensions F/F_0 and E_0/F_0 with coprime degrees



Classification into coarse types by U and A , \bullet coarse type splits into subtypes, \circ does not split.

FIGURE 2. Classification of simply real dihedral and pure cubic fields, $p = 3$

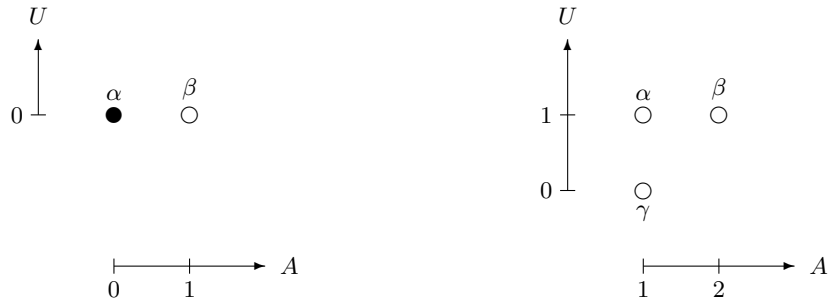


FIGURE 3. Classification of totally real dihedral and pure quintic fields, $p = 5$

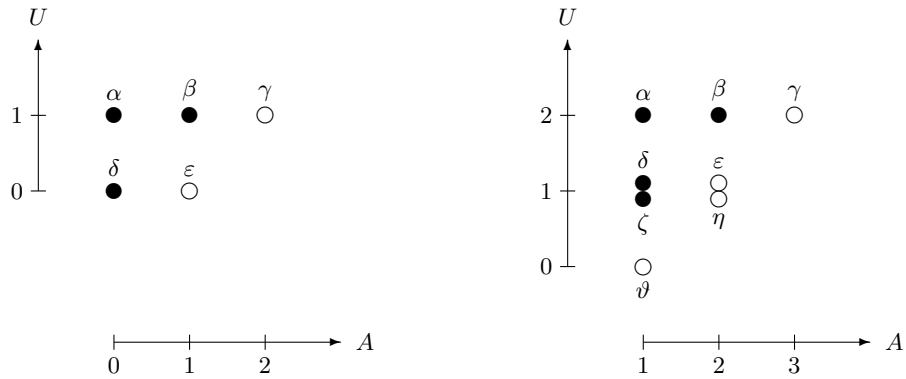
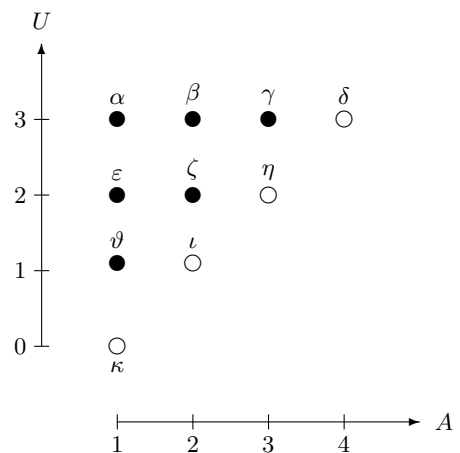


FIGURE 4. Classification of pure septic fields, $p = 7$



1.3. General DPF Types

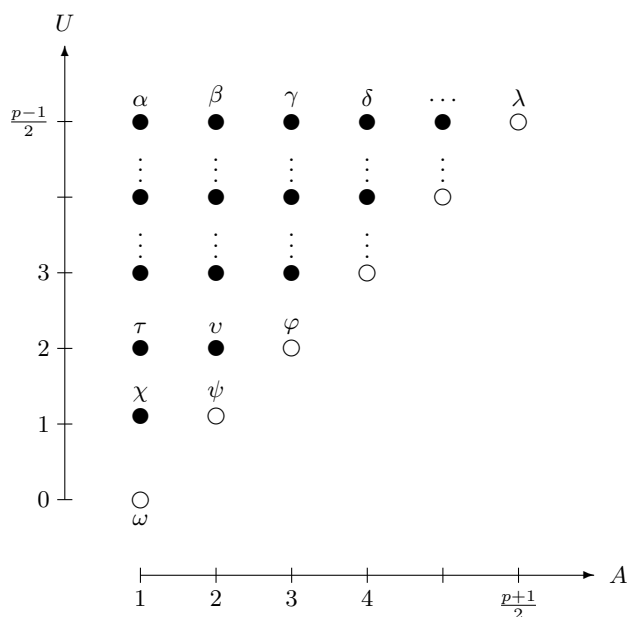
- **Total** \mathbb{F}_p -Vector Space of All Differential Principal Factors with Dimension:

$$\dim_{\mathbb{F}_p}(\mathcal{P}_{N/K}/\mathcal{P}_K) = U + 1,$$

- Subspace of **Absolute** Differential Principal Factors with Dimension:

$$A := \dim_{\mathbb{F}_p}(\mathcal{P}_{L/\mathbb{Q}}/\mathcal{P}_{\mathbb{Q}}), \quad 1 \leq A \leq U + 1$$

FIGURE 5. Classification of general pure fields of odd prime degree $p \geq 11$



1.4. General Homogeneous Multiplets

Theorem. Let (N_1, \dots, N_m) be a multiplet of nonisomorphic pure metacyclic fields $N_i = \mathbb{Q}(\zeta_p, \sqrt[p]{D_i})$, with a primitive p th root of unity ζ_p and distinct normalized p th power free radicands $D_i > 1$, which share a common conductor f over the cyclotomic field $K = \mathbb{Q}(\zeta_p)$.

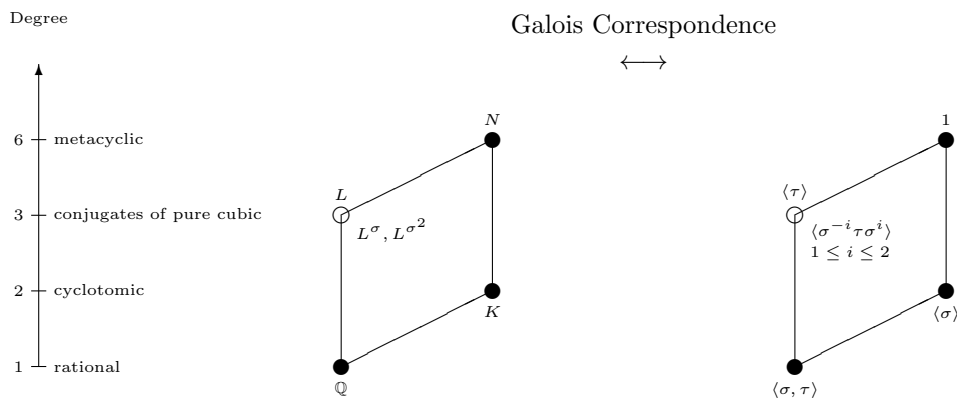
- (1) If either $f^{p-1} = p^{p+1}$ or $f = q$ with a prime $q \in \mathbb{P}$ such that $q^{p-1} \equiv 1 \pmod{p^2}$ and q does not split in K , then $m = 1$ and the **singulet** N_1 is of species 2 for $f = q$, of species 1a otherwise, and of the unique DPF type ω with $U = R = 0$ and $A = 1$.
- (2) If $f^{p-1} = p^{p+1} \cdot q^{p-1}$ with a prime $q \in \mathbb{P} \setminus \{p\}$ such that $q^{p-1} \not\equiv 1 \pmod{p^2}$ and q does not split in K , then $m = p - 1$ and the **multiplet** (N_1, \dots, N_{p-1}) is of species 1a and of the **homogeneous** DPF type (ψ, \dots, ψ) with $U = 1$, $R = 0$ and $A = 2$.
- (3) If $f^{p-1} = p^2 \cdot q^{p-1}$ with a prime $q \in \mathbb{P} \setminus \{p\}$ such that $q^{p-1} \not\equiv 1 \pmod{p^2}$ and q does not split in K , then $m = 1$ and the **singulet** N_1 is of species 1b and of the unique DPF type ψ with $U = 1$, $R = 0$ and $A = 2$.
- (4) If $f = q_1 \cdot q_2$ with distinct primes $q_j \in \mathbb{P} \setminus \{p\}$ such that $q_j^{p-1} \not\equiv 1 \pmod{p^2}$ and q_j does not split in K for $1 \leq j \leq 2$, then $m = 1$ and the **singulet** N_1 is of species 2 and of the unique DPF type ψ with $U = 1$, $R = 0$ and $A = 2$.

The conditions for the unit norm index can be expressed equivalently by $U = 0 \iff N_{N/K}(U_N) = U_K$,
and $U = 1 \iff N_{N/K}(U_N) \simeq U_K / \langle \zeta_p \rangle$.

P. Barrucand and H. Cohn, 1971:

3. Pure Cubic Fields, $p = 3$

$D \geq 2 \dots$ third power free integer,
 $\zeta_3 = \exp(2\pi\sqrt{-1}/3) \dots$ primitive third root of unity,
 $N = \mathbb{Q}(\zeta_3, \sqrt[3]{D}) \dots$ **pure metacyclic** field, degree 6,
 $L = \mathbb{Q}(\sqrt[3]{D}) \dots$ **pure cubic** subfield of N ,
 $K = \mathbb{Q}(\zeta_3) \dots$ third cyclotomic field, imaginary quadratic.

FIGURE 6. Lattices of subfields of N and of subgroups of $\text{Gal}(N/\mathbb{Q}) = \langle \sigma, \tau \rangle$ 

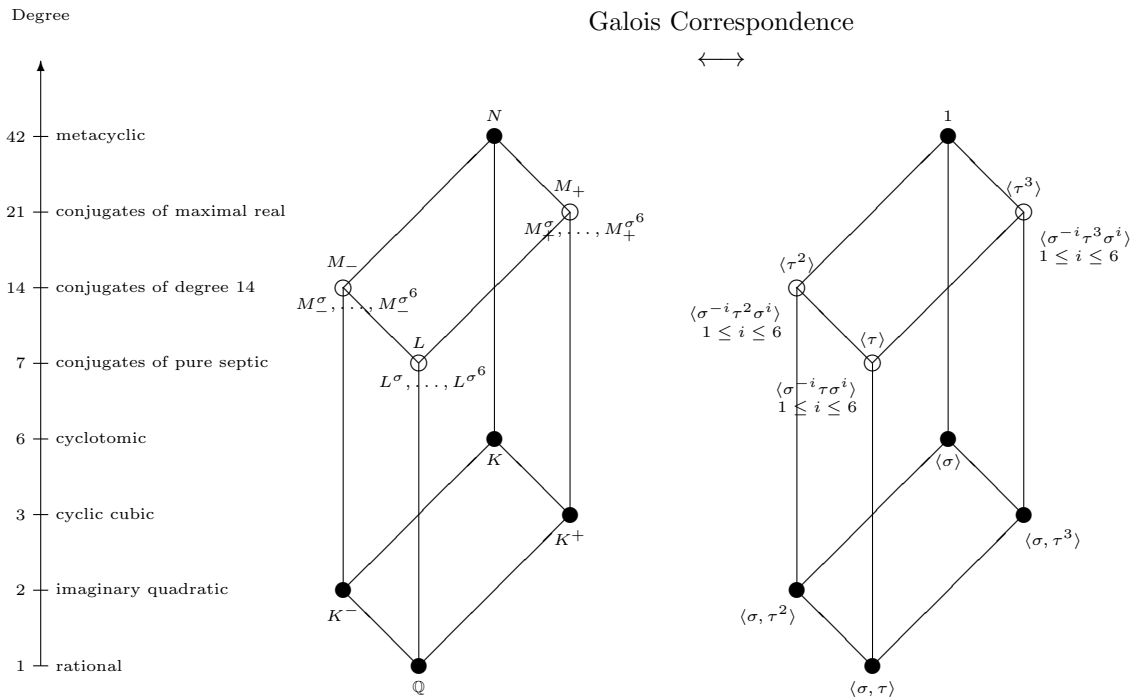
D. C. Mayer, 2018:

7. Pure Septic Fields, $p = 7$

$D \geq 2 \dots$ seventh power free integer,
 $\zeta_7 = \exp(2\pi\sqrt{-1}/7) \dots$ primitive seventh root of unity,
 $N = \mathbb{Q}(\zeta_7, \sqrt[7]{D}) \dots$ **pure metacyclic** field, degree 42,
 $M_+ = \mathbb{Q}(\varrho, \sqrt[7]{D}) \dots$ maximal real subfield of N , deg. 21,
 $M_- = \mathbb{Q}(\sqrt{-7}, \sqrt[7]{D}) \dots$ complex degree 14 subfield of N ,
 $L = \mathbb{Q}(\sqrt[7]{D}) \dots$ **pure septic** subfield of N ,
 $K = \mathbb{Q}(\zeta_7) \dots$ seventh cyclotomic field, cyclic sextic,
 $K^+ = \mathbb{Q}(\varrho) \dots$ maximal real subfield of K , cyclic cubic,
 $K^- = \mathbb{Q}(\sqrt{-7}) \dots$ imaginary quadratic subfield of K .

Remark. The cubic irrationality which generates K^+ is a solution of $\varrho^3 - \varrho^2 - 2\varrho + 1 = 0$.

FIGURE 7. Lattices of subfields of N and of subgroups of $\text{Gal}(N/\mathbb{Q}) = \langle \sigma, \tau \rangle$

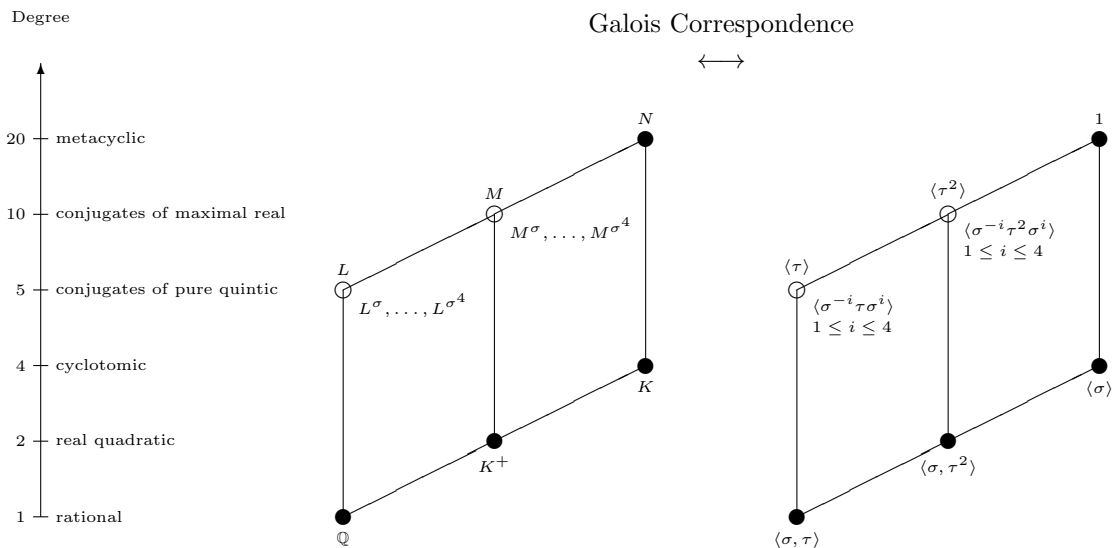


D. C. Mayer, 2013:

5. Pure Quintic Fields, $p = 5$

$D \geq 2 \dots$ fifth power free integer,
 $\zeta_5 = \exp(2\pi\sqrt{-1}/5) \dots$ primitive fifth root of unity,
 $N = \mathbb{Q}(\zeta_5, \sqrt[5]{D}) \dots$ **pure metacyclic** field, degree 20,
 $M = \mathbb{Q}(\sqrt{5}, \sqrt[5]{D}) \dots$ maximal real subfield of N , deg. 10,
 $L = \mathbb{Q}(\sqrt[5]{D}) \dots$ **pure quintic** subfield of N ,
 $K = \mathbb{Q}(\zeta_5) \dots$ fifth cyclotomic field, cyclic quartic,
 $K^+ = \mathbb{Q}(\sqrt{5}) \dots$ maximal real subfield of K , real quadratic.

FIGURE 8. Lattices of subfields of N and of subgroups of $\text{Gal}(N/\mathbb{Q}) = \langle \sigma, \tau \rangle$



Relative different of N with respect to K : $\mathfrak{D}_{N/K} =$

$$\underbrace{\mathfrak{P}^e \cdot \prod_{i=1}^n \mathfrak{Q}_i^4}_{\text{absolute}} \cdot \underbrace{\prod_{i=1}^{s_2} (\mathfrak{L}_i \cdot \mathfrak{L}_i^\tau)^4}_{\text{intermediate}} \cdot \underbrace{\prod_{i=s_2+1}^{s_4} (\mathfrak{L}_i \cdot \mathfrak{L}_i^{\tau^2} \cdot \mathfrak{L}_i^\tau \cdot \mathfrak{L}_i^{\tau^3})^4}_{\text{relative}}$$

5.1. **Absolute** Differential Principal Factors

T ... number of primes q_i dividing the conductor f of N/K ,
 $q_i \mathcal{O}_L = \mathfrak{q}_i^5$... ramification of q_i in L .

- Space of Absolute Differential Factors in L/\mathbb{Q}

Dimension:

$$(1) \quad \dim_{\mathbb{F}_5}(\mathcal{I}_{L/\mathbb{Q}}/\mathcal{I}_{\mathbb{Q}}) = T (= n + s_2 + s_4),$$

Basis:

$$(2) \quad \mathcal{I}_{L/\mathbb{Q}}/\mathcal{I}_{\mathbb{Q}} \simeq \bigoplus_{i=1}^T \mathbb{F}_5 \mathfrak{q}_i.$$

- Subspace of Absolute Differential **Principal** Factors

Dimension:

$$(3) \quad A := \dim_{\mathbb{F}_5}(\mathcal{P}_{L/\mathbb{Q}}/\mathcal{P}_{\mathbb{Q}}),$$

Bounds for the dimension (cohomology: ≤ 3 , subspace: $\leq T$):

$$(4) \quad 1 \leq A \leq \min(3, T).$$

5.2. Intermediate Differential Principal Factors

$s_2 \dots$ number of primes $\ell_i \equiv -1 \pmod{5}$ dividing f ,
 $s_4 \dots$ number of primes $\ell_{s_2+i} \equiv +1 \pmod{5}$ dividing f ,
 $\ell_i \mathcal{O}_M = (\mathcal{L}_i \cdot \mathcal{L}_i^\tau)^5 \dots$ 2-splitting of ℓ_i in M .

- Space of Intermediate Differential Factors in M/K^+

Dimension:

$$(5) \quad \dim_{\mathbb{F}_5} \left((\mathcal{I}_{M/K^+} / \mathcal{I}_{K^+}) \cap \ker(N_{M/L}) \right) = s_2 + s_4$$

Basis with $\mathcal{K}_{(\ell_i)} := \mathcal{L}_i \cdot (\mathcal{L}_i^\tau)^4$:

$$(6) \quad (\mathcal{I}_{M/K^+} / \mathcal{I}_{K^+}) \cap \ker(N_{M/L}) \simeq \bigoplus_{i=1}^{s_2+s_4} \mathbb{F}_5 \mathcal{K}_{(\ell_i)},$$

- Subspace of Intermediate Differential **Principal** Factors

Dimension:

$$(7) \quad I := \dim_{\mathbb{F}_5} \left((\mathcal{P}_{M/K^+} / \mathcal{P}_{K^+}) \cap \ker(N_{M/L}) \right),$$

Bounds (cohomology: ≤ 2 , subspace: $\leq s_2 + s_4$):

$$(8) \quad 0 \leq I \leq \min(2, s_2 + s_4).$$

5.3. **Relative** Differential Principal Factors

$s_4 \dots$ number of primes $\ell_{s_2+i} \equiv +1 \pmod{5}$ dividing f ,
 $\ell_i \mathcal{O}_N = (\mathfrak{L}_i \cdot \mathfrak{L}_i^{\tau^2} \cdot \mathfrak{L}_i^{\tau} \cdot \mathfrak{L}_i^{\tau^3})^5 \dots$ 4-splitting of ℓ_i in N .

- Space of Relative Differential Factors in N/K

Dimension:

$$(9) \quad \dim_{\mathbb{F}_5} \left((\mathcal{I}_{N/K}/\mathcal{I}_K) \cap \ker(N_{N/M}) \right) = 2s_4$$

Basis with $\mathfrak{K}_{(\ell_i),1} := \mathfrak{L}_i \cdot (\mathfrak{L}_i^{\tau^2})^4 \cdot (\mathfrak{L}_i^{\tau})^2 \cdot (\mathfrak{L}_i^{\tau^3})^3$ and
 $\mathfrak{K}_{(\ell_i),2} := \mathfrak{L}_i \cdot (\mathfrak{L}_i^{\tau^2})^4 \cdot (\mathfrak{L}_i^{\tau})^3 \cdot (\mathfrak{L}_i^{\tau^3})^2$:

$$(10) \quad (\mathcal{I}_{N/K}/\mathcal{I}_K) \cap \ker(N_{N/M}) \simeq \bigoplus_{i=s_2+1}^{s_2+s_4} (\mathbb{F}_5 \mathfrak{K}_{(\ell_i),1} \oplus \mathbb{F}_5 \mathfrak{K}_{(\ell_i),2}) ,$$

- Subspace of Relative Differential **Principal** Factors

Dimension:

$$(11) \quad R := \dim_{\mathbb{F}_5} \left((\mathcal{P}_{N/K}/\mathcal{P}_K) \cap \ker(N_{N/M}) \right) ,$$

Bounds (cohomology: ≤ 2 , subspace: $\leq 2s_4$):

$$(12) \quad 0 \leq R \leq \min(2, 2s_4).$$

5.4. Central Orthogonal Idempotents

$$\psi_j := \frac{1}{4} \sum_{k=0}^3 \chi_j(\tau^{-k}) \tau^k \quad \text{for } 0 \leq j \leq 3.$$

$$\psi_0 = -(1 + \tau + \tau^2 + \tau^3), \quad \psi_1 = -(1 + 2\tau + 4\tau^2 + 3\tau^3),$$

$$\psi_2 = -(1 + 4\tau + \tau^2 + 4\tau^3), \quad \psi_3 = -(1 + 3\tau + 4\tau^2 + 2\tau^3).$$

$$\psi_1 \hat{=} \mathfrak{K}_1 = \mathfrak{L}^{1+4\tau^2+2\tau+3\tau^3} \hat{=} (1243), \quad \psi_3 \hat{=} \mathfrak{K}_2 = \mathfrak{L}^{1+4\tau^2+3\tau+2\tau^3} \hat{=} (1342).$$

5.5. Special Galois Cohomology

Herbrand Quotient:

$$\frac{\#H^0(G, U_N)}{\#H^{-1}(G, U_N)} = \frac{(\ker(\Delta) : \text{im}(\mathcal{N}))}{(\ker(\mathcal{N}) : \text{im}(\Delta))} = \frac{(U_K : N_{N/K}(U_N))}{(E_{N/K} : U_N^{\sigma-1})},$$

$$\#H^1(G, U_N) = \#H^0(G, U_N) \cdot [N : K] = 5^{U+1}, \quad 0 \leq U \leq 2.$$

Iwasawa Isomorphism: $H^1(G, U_N) \simeq \mathcal{P}_{N/K}/\mathcal{P}_K$.

$$(\mathcal{P}_{N/K} : \mathcal{P}_K) = (E_{N/K} : U_N^{\sigma-1}) = (U_K : N_{N/K}(U_N)) \cdot 5 \in \{5, 25, 125\}.$$

$$\mathcal{P}_{N/K}/\mathcal{P}_K \simeq \mathcal{P}_{L/\mathbb{Q}}/\mathcal{P}_{\mathbb{Q}} \times (\mathcal{P}_{N/K}/\mathcal{P}_K \cap \ker(N_{N/L})), \quad U+1 = A+R_0,$$

$$\mathcal{P}_{N/K}/\mathcal{P}_K \simeq$$

$$\mathcal{P}_{L/\mathbb{Q}}/\mathcal{P}_{\mathbb{Q}} \times (\mathcal{P}_{M/K^+}/\mathcal{P}_{K^+} \cap \ker(N_{M/L})) \times (\mathcal{P}_{N/K}/\mathcal{P}_K \cap \ker(N_{N/M})),$$

respectively $U+1 = A+I+R$ ($R_0 = I+R$ splits further).

5.6. Quintic DPF Types

Type	U	$U + 1 = A + I + R$	A	I	R
α_1	2	3	1	0	2
α_2	2	3	1	1	1
α_3	2	3	1	2	0
β_1	2	3	2	0	1
β_2	2	3	2	1	0
γ	2	3	3	0	0
δ_1	1	2	1	0	1
δ_2	1	2	1	1	0
ε	1	2	2	0	0
ζ_1	1	2	1	0	1
ζ_2	1	2	1	1	0
η	1	2	2	0	0
ϑ	0	1	1	0	0

5.7. Statistical Distribution of DPF Types

TABLE 1. Absolute frequencies of differential principal factorization types

Type	100	200	300	400	500	600	700	800	900	1000	%
α_1	1	2	3	4	5	5	5	9	9	9	8.3
α_2	10	17	23	30	35	42	52	57	63	75	
α_3	0	0	0	1	1	3	5	5	7	8	
β_1	0	2	4	7	8	11	15	18	22	23	17.9
β_2	7	24	40	54	80	94	108	126	146	161	
γ	25	55	88	117	148	187	222	259	290	324	
δ_1	0	0	1	1	3	4	4	4	6	7	23.1
δ_2	8	14	19	23	31	35	38	44	51	53	
ε	26	45	67	95	110	128	150	165	184	208	
ζ_1	0	1	1	1	1	1	1	1	1	1	
ζ_2	0	0	0	0	0	1	1	4	4	5	
η	1	2	4	5	5	6	6	6	6	7	
ϑ	3	6	8	9	11	13	15	17	18	19	
Total	81	168	258	347	438	530	622	715	807	900	100.0

5.8. Parry/Walter Class Number Relation

$L_j = L^{\sigma^j}$... conjugates of the non-Galois field L , $0 \leq j \leq 4$,

$U_0 := \langle U_K \cdot \prod_{j=0}^4 U_{L_j} \rangle$... subgroup of subfield units of U_N ,

$(U_N : U_0)$... index of subfield units of N ,

$E := v_5((U_N : U_0))$... logarithmic index of U_0 in U_N ,

h_F ... class number of the field F ,

$V_F := v_5(h_F)$... 5-valuation of h_F .

$$(13) \quad h_N = \frac{(U_N : U_0)}{5^E} \cdot h_L^4,$$

$$(14) \quad V_N = E - 5 + 4 \cdot V_L, \quad 0 \leq E \leq 6.$$

Open Problems:

1. $E = 0$ never occurs for $D < 10^3$.

Theoretical disproof? Or verification by some $D > 10^3$?

2. Are there provable relations between E and A, I, R ?

5.9. Polya Fields of Degree 6 or 20

F ... number field with set \mathbb{P}_F of prime ideals,
 $p \in \mathbb{P}$... prime number,
 $f \in \mathbb{N}$... positive integer.

Ostrowski ideal of F for the prime power p^f :

$$(15) \quad \mathfrak{b}_{p^f}(F) := \prod \{ \mathfrak{p} \in \mathbb{P}_F \mid N_{F/\mathbb{Q}}(\mathfrak{p}) = p^f \}.$$

Polya property of F (according to Zantema, Leriche):

$$(16) \quad (\forall p \in \mathbb{P}) (\forall f \in \mathbb{N}) (\exists \gamma \in F) \quad \mathfrak{b}_{p^f}(F) = \gamma \mathcal{O}_F.$$

Proposition. Polya property of $N = \mathbb{Q}(\zeta_5, \sqrt[5]{D})$, expressed as a condition for $L = \mathbb{Q}(\sqrt[5]{D})$: N is a Polya field \iff

$$(17) \quad (\forall p \in \mathbb{P}, p \mid f_{N/K}) (\exists \alpha \in L) \quad N_{L/\mathbb{Q}}(\alpha) = p.$$

Main Theorem. Let $G := \text{Gal}(N/\mathbb{Q})$. All the following statements are equivalent:

- (1) N is a Polya field.
- (2) Subgroup $(\mathcal{I}_N^G \cdot \mathcal{P}_N) / \mathcal{P}_N \leq \text{Cl}(N)$ of strongly ambiguous classes of N/\mathbb{Q} is trivial.
- (3) $\mathcal{I}_{N/\mathbb{Q}} / \mathcal{P}_{\mathbb{Q}} = \mathcal{P}_{N/\mathbb{Q}} / \mathcal{P}_{\mathbb{Q}}$.
- (4) $\mathcal{I}_{L/\mathbb{Q}} / \mathcal{P}_{\mathbb{Q}} = \mathcal{P}_{L/\mathbb{Q}} / \mathcal{P}_{\mathbb{Q}}$, reduced from the metacyclic normal field to the pure field.
- (5) $T = A$, in terms of dimensions of spaces of differential factors over \mathbb{F}_5
 (necessarily yields an upper bound for the number of primes ramified in L/\mathbb{Q} ,
 $T \leq 2$ in the cubic case, $p = 3$, and $T \leq 3$ in the quintic case, $p = 5$).
- (6) $(\forall p \in \mathbb{P}, p \mid f) (\exists \alpha \in L) \quad N_{L/\mathbb{Q}}(\alpha) = p.$

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